



UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )

ANGEL et al. )

Serial No. 09/964,796 )

Filed: September 28, 2001 )

Group Art Unit: 1711

Examiner: Unknown

For: WATER-SOLUBLE OR WATER-DISPERSIBLE (CO) POLYMERS OF  
HYDROXYALKYL (METH) ACRYLATES, A PROCESS FOR THEIR  
PREPARATION, AND THEIR USE AS COATING AGENT, BINDER AND/OR  
FILM-FORMING EXCIPIENT IN PHARMACEUTICAL DOSAGE FORMS

I hereby certify that this correspondence is being deposited with the United  
States Postal Service as first class mail in an envelope addressed to:  
Commissioner of Patents and Trademarks, Washington, D.C. 20231, on:  
October 30, 2001  
Date of Deposit  
Monica K. Sims  
Person Making Deposit  
Signature  
October 30, 2001  
Date of Signature

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This subject Information Disclosure Statement is submitted in connection with  
applicants' continuing duty of disclosure under 37 CFR 1.56.

Listing of Relevant Documents

The relevant documents are listed in the attached Form PTO-1449.

Remarks

The listed reference are discussed on pages 3 and 4 of the instant specification.

U.S. 4,397,986 is the English equivalent of DE 31 11 602, which is discussed on  
page 3 of the instant specification.

U.S. 6,107,397 is the English equivalent of DE 197 12 247, which is discussed  
on page 4 of the instant specification.

1711

#3  
01/17/02  
AS

RECEIVED  
JAN 14 2002  
TC 1700

DE 196 29 948 is not available in English, however a concise explanation of the relevance of the reference is discussed on page 3 of the instant specification.

Respectfully submitted,  
KEIL & WEINKAUF



Herbert B. Keil  
Reg. No. 18,967

1101 Connecticut Avenue, N.W.  
Washington, D.C. 20036  
(202) 659-0100  
HBK/mks